0,187(6 2) 7+(0((7,1* 2) 6(1$7(

-XQH

7KH PHHWLQJ ZDV RSHQHG DW 5S $UWWDQG %XDOOLQJ

6(1$725

6 %DUJKL	6 +RGJVRQ	3 3HGGOH
* %HOIU\	$ +U\PDN	7 3HUFLYDO 6PLWK
$ %RUFKHUW	/ -LDQJ	$ 3HUR
' %URX	5 .HQQHG\	9 5DGFOLIIH
6 %XUNH	. .LUNZRRG	$ 5D\
- &DSRQH	* .RSS	* 5HDG
(&KDPEHUODLQ	. .ZDQ	* 5H]DL 5DVKWL
$ &KDQW	' /DLUG	0 5RELQVRQ
. &ODUN	/ /RJDQ	& 5RXOVWRQ
. &ROH	- 0DWWKHZV	- 5\OHWW
5 &ROOLQV	- 0LFKDOVNL	9 6FKZHDQ
- &XFLXUHDQ	0 0LOGH	1 6KXYD
6 'DWDUV %HUH	. 0LOOHU	' 6LPPRQGV
, 'L&RLWR	/ 0LOOHU	= 6LQHO
/)UHGHUNLQJ	2 1DGOHU	9 6P\H
0 *DUDEHGLDQ	' 2OWHDQX	& 6WHHYHV
- *DUODQG	* 3DUUDJD	6 7URVRZ
$ *U]\E	, 3DXO	0 9DQGHQERVFK
- +DWFK	: 3HDUVRQ	. <HXQJ

2EVHUYHUV . &DPSEHOO5LYHU &KHORHUNVH\ -DOTXLHUE\ 0DVVH\ - 0F0XOOLQ * 2VLQVNL 0 3UDWW 0 5XGGRFN

/DQG $FNQRZOHGJHPHQW

9 6\PH UHDG WKH /DQG $FNQRZOHGJHPHQW

6 0,187(6 2) 7+(35(9,286 0((7,1*

7KH PLQXWHV RI WKH SUHYLRXV PHHWLQ ZHUH DSSURYHG DV FLUFXODWHG

6 5(3257 2) 7+(35(6,'(17[KLELW ,@

- $W LWV $SULO PHHWLQJ WKH %RDUG SSURRYHG WKH Conduct
  WR DOORZ :HVWHUQ 8QLYHUVLW\ WR LQVWDOO DQG RSHUDWH D SXV LQVW
- :HVWHUQ /HDGHUVKLS 3URJUDPV 6DSSRLQWHG DV :HV

x

7KDW VWXGHQW VHFXULQHWQKHO SUHRJUURDPDEHXDOWHR XHQWVWR $JXVW
XSRQ IXOILOPHQW RI WKH UHTXLUHPHQWV DQG

7KDW HIIHFWLYH 6HSWHPEHUWHU RI $WWULFDQ 6WXGHQW HH GLV

&$55,('8QDQLPRXV &RQVHQW

6 6FKRRO RI *UDGXDWH DQG 3RVWGRFWRUDO 6WXGLHV E\ 6 %XUNH

,W ZDV PRYHG6HFRQGHG E\ 6 %XUNH

7KDW WKH 0DVWHU$SSOLFDWLRQ EH DSSURYHGDV$PHQGHG DQG &XUULFXOXP HIIHFWLYH 0D\

&$55,('8QDQLPRXV &RQVHQW

6FKRRO RI *UDGXDWH DQG 3RVWGRFWRUDO6WXGLHV 6FLHQFH6F

6 6FKXOLFK 6FKRRO RI 0WLGVLWUQH5HYLQVLRQWLRQ 5WKHXSGHPLQWV RI W
3URJUDP

,W ZDV PRYHGE\V-HFRQGHG E\ 6 %XUNH

7KDW WKH 0' 3URJUDPSWTXLUHPHQWV LQ WKH 6FKXOLFK 6

6 E 5HQDPLQJ DQG 5HYLVLRQV1DWXUH&RQVHUYDQF\6WXGLHV´ 0RGXOHV

,W ZDV PRYHG E\ -HFRQG HG E\ 6 %XUNH

7KDW HIIHFWLYH 6HSWHPEHU RUV 6SHFLDOLVW QRQ0DLQ)LU
6WXGLHV EH UHQDPHG DV WKH +RQRUV6SHFLDOLVW 60DXV
7KDW WKH UHTXLUHPHQWV IRU WKH YLVHG GHJUHH RQ 6SHFLDOLVW[GL[

&$55,('8QDQLPRXV &RQVHQW

6 %UHVFLD 8QLYHUVLW\ &RQVHUYDWLRQ 5HVHDUFK DQG 5HYLVLRQV JXLGHOLQHV0RGXOHV

,W ZDV PRYHG E\ -HFRQG HG E\ 6 %XUNH

7KDW HIIHFWLYH 6HSWHPEHU RUV 6SHFLDOLVW QRQ0DLQ EH UH
DV WKH +RQRUV 6SHFLDOLVW0DQDJHPHQW DQG0DUNHWLQJ

7KDW WKH 6SHFLDOL]DWLRQ LQ)RRG 0DQDJHPHQW DQG
0DQDJHPHQW DQG 0DUNHWLQJ

7KDW WKH 0DMRU LQ)RRG 0DQDJHPHQW EH UHQDPHG DV WKH
0DUNHWL• ð0

7KDW WKH UHTXLUHPHQWV

&RROOHJH DQG .LQJ¶V &ROOHJH &RXQFLO UHVROXWLRQ &RQFHUQLQJ WKH ([KLELW 9 $SSHQGL[

&$55,('

'U $ \PDN SURYLGHG 6HQDWH ZLWK DQ RYHUYLHZ RI WKH

6 5HYLVLRQV WR WKH 3URILFLHQF\ 3URILOH´ 3ROLF

,W ZDV PRYHG E\ 6 %XUNH

7KDW HIIHFWLYH 6HSWHPEHU /DQJXDJH 3URILFLHQF\ UHYLVHG DV VKRZQ LQ ([KLELW 9 $SSHQGL[

&$55,('8QDQLPRXV &RQVHQW

6 5HYLVLRQV WR WKH ³&RXUVH 6HTXHQFH´ DQG +RXUV RI \QVWUXF

,W ZDV PRYHG E\ 6 %XUNH

7KDW WKH ³&RXUVH 1XPEHU &UHGLW +RXUV RI ,QVWUXFWLRQ´ 3ROLF\ DV VKRZQ LQ ([KLELW 9 $SSHQGL[HIIHFWLYH 6HSWHPEHU

&$55,('8QDQLPRXV &RQVHQW

6 5HYLVLRQV WR ³6WXGHQW &ODVVLILFDWLRQ´ DQG ³,QWHUQDWLRQDO 6WXGHQWV´ 3ROLF\

,W ZDV PRYHG E\ 6 %XUNH

7KDW WKH &ODVVLILFDWLRQ 5HTXLUHPHQWV´ 3ROLF\ EH UHYLVHG DV VKRZQ LQ ([KLELW 9 ,, $SSHQGL[HIIHFWLYH 6HSWHPEHU

&$55,('8QDQLPRXV &RQVHQW

6 5HYLVLRQV WR WKH ³5HJLVWUDWLRQ DQG 3URFHGXUHV´ DQG ³*UDGXDWLRQ 5HTXLUHPHQWV´ 3ROLF\ DQG WKH ³*UDGXDWLRQ 5HTXLUHPHQWV´ 3ROLF

,W ZDV PRYHG E\ 6 %XUNH

7KDW WKH ³5HJLVWUDWLRQ DQG *UDGXDWLRQ +RXUV RI &UHGLW´ 3ROLF\ EH UHYLVHG DV UZ

&$55,('

- 6FKRODUVKLSV DQG $ZDUGV

5(3257 2) 7+(6(1$7(&200,77((21 81,9(56,7< 3/$

5(3257 2) 7+(81,9(56,7< 5(6($5&+>%[2KLELW 9,,@

6 $SSURYDO RI WKH ,QVWLWXWH IRU (DUWK DQG 6SDFH ([SORUDWLR

,W ZDV PRYHG6REQ\H- V&HDFRQGHG E\ $ &KDQW

7KDW 6HQDWH DSSURYH WKH %RRN RI PRPHQW RI WKH ,QVWLWXWH IRU (DUWK DQG 6SDFH ([FHOOHQFH -XO\

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6 5(3257 2) 7+($&$'(0,& &2//(*(([KLELW 9,,,@

([KLELW 9 5HSRUW RI WKH $FDGHPLF &RDOLWLRQ ZKLFK IRFXVHV RQ GLVF
LQ WKH UHSRUW

- $FDGHPLF &ROOHDJXH 5HSRUW RQ WKH $SULO 0HHWLQJ

7KH $FDGHPLF &ROOHDJXH SUHVHQWHG UHFRPPHQGDWLRQV RQ WKH IROO

- 6H[XDO 9LROHQFH 6XUYH\
- 7XLWLRQ)HH)UDPHZRUN DQG)HH *XLGHOLQHV
- &DPSXV)UHHGRP ([SUHVVLRQ
- 3URJUDP $SSURYDOV

6 $11281&(0(176 $1' &20081,&$7,216

6 H Q D W H 0 L Q X W H V

7KH 3UHVLGHQW RSHQHG WKH PHHWLQJ DW SP &LFHUR VDLG WKDW WKH GHS
3UHVLGHQW QRWHG WKDW WKHUH ZDV QR EXVLQHVV WR EH WUDQVDFWHG DW WKLV PHHWLQJ
GXULQJ KLV WHQXUH DQG WKDW WKH VXEFRPPLWWHH RQ GHDGZRRG KDG EHHQ GLVVROYHG

7KH 8QLYHUVLW\ 6HFUHWDULDW RQ RFWREHU DW SP IRU WKH SXUSRVH
%RDUG RI *RYHUQRUV RI QHZ QDPH &RQVHQW $JHQGD

$ 6HQDWRU DGYLVHG WKDW KH KDG UHFHLYHG D SHWLWLRQ RQ EHKDOI RI WKH
SODFHPHQW RI WKH %RDUG RI *RYHUQRUV 7KH &KDLU GLUHFWHG WKH 6HQDWH
UHSRUW WR 6HQDWRU %URZQ 7KH &KDLU DVNHG 6HQDWRU %URZQ WR KDYH WKH 2SHUDW
&RPPLWWHH PDGH WKH WDEOH RYHU RQ KLV LQLWLDWLYH 6HQDWRU %URZQ DJUHHG
UHFRJQL]HG DV D FRXUWHV\ UHSRUW DQG QRW D UHTXLUHPHQW

$ 6HQDWRU UHTXHVWHG XQDQLPRXV FRQVHQW WR DGGUHVV WKH %RDUG RI -
9LFH 3URYRVW ,QVWLWXWLRQV DQG GXOH FKDLUV RQ ZLVKLQ

$ 6HQDWRU QRWHG FRQFHUQ RYHU WKH WLPH WKDW WKH WHVWLPRQ\ ZLOO EH L
WKH LQJRRGPLQQLV WUDWLRQ

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7KH PHHWLQJ DGMRXUQHG DW SP

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